| ≅ Ω<br><b>1</b> ⊗  |   |            |             |       |  |
|--|---|------------|-------------|-------|--|
| UTILITY PATENT   | Attorney Docket No.   | 032057     | Total Pages |       |  |
| PLICATION TRANSMITTAL  (Only for new nonprovisional applications   | First Named Inventor or Application Identifier  |            |             |       |  |
| under 37 CFR 1.53(b))  Check Box, if applicable [ ] Duplicate  | Tomohiro TAKAMATSU, Junichi WATANABE, Ko NAKAMURA<br>Wensheng WANG, Naoyuki SATO, Aki DOTE, Kenji NOMURA,<br>Yoshimasa HORII, Masaki KURASAWA and Kazuaki TAKAI |            |             | IURA, |  |
|  | Express Mail Label No.  |            |             |       |  |
| APPLICATION ELEMENTS FOR:<br>SEMICONDUCTOR DEVICE AND<br>MANUFACTURING METHOD OF A<br>SEMICONDUCTOR DEVICE   | ADDRESS TO: Commissioner for Patents  MAIL STOP PATENT APPLICATION, P. O. Box 1450  Alexandria, VA 22313-1450   |            |             |       |  |
| 1. [XX] Fee Transmittal Form (Incorporated within  | n this form) (The filing fee is o   | leferred). | (           | 564   |  |
| 2. [XX] Specification Total Pages [85]   |   |            |             | 0/69  |  |
| 3. [XX] Drawing(s) (35 USC 113) Total S  | . [XX] Drawing(s) (35 USC 113) Total Sheets [30]  |            |             |       |  |
| 4. [ ] Oath or Declaration Total Pages [ ]  NOT attached; deferred under P.L. 97-247.  |   |            |             |       |  |
| a. [ ] Newly executed (original)   |   |            |             |       |  |
| b. [] Copy from prior application (37 CFR 1.63(d)  (for continuation/divisional with Box 17 completed).  |   |            |             |       |  |
| i. [ ] Deletion of Inventor(s)  Signed statement attached deleting inventor(s) named in prior application,  see 37 CFR 1.63(d)(2) and 1.33(b).   |   |            |             |       |  |
| 5. [] Incorporation by reference (useable if box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |   |            |             |       |  |
| 6. [ ] Microfiche Computer Program (Appendix)  |   |            |             |       |  |
| 7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)   |   |            |             |       |  |
| a. [ ] Computer Readable Copy b. [ ] Paper Copy (identical to computer copy) c. [ ] Statement Verifying identity of above copies   |   |            |             |       |  |
| ACCOMPANYING APPLICATION PARTS   |   |            |             |       |  |
| 8. [ ] Assignment Papers (cover sheet and document(s)) to: <u>FUJITSU LIMITED, Kawasaki, Japan</u> Please publish the assignee data with the application.  |   |            |             |       |  |
| 9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney  |   |            |             |       |  |

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

032057

First Named Inventor or Application Identifier

Tomohiro TAKAMATSU, Junichi WATANABE, Ko NAKAMURA, Wensheng WANG, Naoyuki SATO, Aki DOTE, Kenji NOMURA, Yoshimasa HORII, Masaki KURASAWA and Kazuaki TAKAI

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| 10. [ ] English translation Document (if applicable  | ·)   |  |  |  |
|--|--|--|--|--|
| 11. [XX] Information Disclosure Statement  | [XX] Copies of IDS Citations (PTO-1449 w/5 ref.)     |  |  |  |
| 12. [ ] Preliminary Amendment  |  |  |  |  |
| 13. [XX] Return Receipt Postcard (MPEP 503)  |  |  |  |  |
| 14. [] Small Entity Status is claimed.   |  |  |  |  |
| 15. [XX] Claim for Convention Priority   | [1] Certified copy of Priority Document is enclosed. |  |  |  |
| a. Priority of <u>Japanese</u> application no. <u>2002-316733</u> filed on <u>October 30, 200</u> 2 is claimed under 35 USC 119. |  |  |  |  |
| 16. [ ] Other  |  |  |  |  |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:                                     |  |  |  |  |
| [ ] Continuation [ ] Division [ ] Continuation-in-part (CIP) of prior application no/  |  |  |  |  |
|  |  |  |  |  |

| FEE TRANSMITTAL                    | Number Filed | Number Extra | Rate      | Basic<br>Fee |
|------------------------------------|--------------|--------------|-----------|--------------|
| The filing fee is calculated below |              |              |           | \$770.00     |
| Total Claims                       | 32 - 20      | 12           | x \$18.00 | \$216.00     |
| Independent Claims                 | 5 - 3        | 2            | x \$86.00 | \$172.00     |
| Multiple Dependent Claims          |              |              | \$290.00  |              |
| Basic Filing Fee                   |              |              |           | \$1158.00    |
| Reduction by 1/2 for small entity  |              |              |           |              |
| Fee for recording Assignment       |              |              | \$40.00   | \$40.00      |
| TOTAL                              |              |              |           | \$1198.00    |

XX NO FEE ENCLOSED. THE FILING FEE IS DEFERRED.

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

032057

First Named Inventor or Application Identifier

Tomohiro TAKAMATSU, Junichi WATANABE, Ko NAKAMURA, Wensheng WANG, Naoyuki SATO, Aki DOTE, Kenji NOMURA, Yoshimasa HORII, Masaki KURASAWA and Kazuaki TAKAI

PAGE 3 OF 3

| [ ] A check in the amount of \$ is enclosed to cover the filing fee of recordation fee of \$  | of \$ and the assignment |  |  |  |  |
|---|--------------------------|--|--|--|--|
| [ ] Please charge our Deposit Account No. 50-2866 in the total amount of to cover the filing fee and the assignment recordation fee. A duplicate of this sheet is attached. |                          |  |  |  |  |
| [ ] The Commissioner is hereby authorized to charge payment for any add CFR 1.16 or credit any overpayment to Deposit Account No. 50-2866.                                  | •                        |  |  |  |  |
| 18. CORRESPONDENCE ADDRESS:   |                          |  |  |  |  |
| WESTERMAN, HATTORI, DANIELS & A   | ADRIAN, LLP              |  |  |  |  |
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| SUBMITTED BY  |                          |  |  |  |  |
| Typed or Printed Name Stephen G. Adrian Reg. No. 32,878   |                          |  |  |  |  |
| Signature Date: October 29,   | , 2003                   |  |  |  |  |

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP 1250 Connecticut Avenue, N.W., Suite 700 Washington, D.C. 20036 Tel: (202) 822-1100 Fax: (202)822-1111

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Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.

| Application Number     | New Application            |
|------------------------|----------------------------|
| Filing Date            | October 29, 2003           |
| First Named Inventor   | Tomohiro TAKAMATSU, et al. |
| Art Unit               |                            |
| Examiner Name          |                            |
| Attorney Docket Number | 032057                     |

| Please change the Correspondence Address for the above-identified patent application to:  |                                   |       |    |                |     |       |
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| I am the:   | I am the:                         |       |    |                |     |       |
| Applicant/Inventor  |                                   |       |    |                |     |       |
| Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  |                                   |       |    |                |     |       |
| Attorney or Agent of record. Registration Number 32,878   |                                   |       |    |                |     |       |
| Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number                                 |                                   |       |    |                |     |       |
| Typed or Printed Name Stephen G/ Adrjan   |                                   |       |    |                |     |       |
| Signature Multi Mills   |                                   |       |    |                |     |       |
| Date October 29, 2003   |                                   |       |    | (202) 822-1100 |     |       |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.    |                                   |       |    |                |     |       |
| Total of  |                                   |       |    |                |     |       |

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.